

# Biobanks with particular emphasis on human research material

Julius Ecuru

Assistant Executive Secretary

Uganda National Council for Science and Technology

*Presented at the*

*Expert Conference on the Revision of the Declaration of Helsinki,  
hosted by SA Medical Association from 5-7 December 2012 in  
Cape Town, South Africa*

[j.ecuru@uncst.go.ug](mailto:j.ecuru@uncst.go.ug)

# Outline

- Introduction
- DoH provisions on human material
- Key biobank issues
- Key biobank issues for developing countries:  
Uganda as example
- Conclusions

# Introduction

- Advances in molecular science increasingly provide remarkable capabilities of working with human materials (HM) --- **blood, tissue, saliva, hair**, etc --- to understand disease, & find novel preventive and therapeutic remedies;
- *What we can't do today may be possible to do in future.*



# Introduction...

- Thus, HM are stored for possible uses in future research (i.e. biobanked).
- As it helps to:
  - Preserve valuable biological information;
  - Save time and resources;
  - Less burdensome to sample sources;
- *HM are exchanged across the world in thousands; many from less developed to developed countries.*

# Declaration of Helsinki (DoH) on HM

- The DoH intention to provide guidance on HM is clear in para A.1 of the current version,
  - “The WMA has developed the DoH as a statement of ethical principles for medical research involving human subjects, including research on identifiable human material and data.”

# DoH on HM...

- But the guidance is limited to consent only as stated in para B.25,
  - “For medical research using identifiable human material or data, physicians must normally seek consent for the collection, analysis, storage and/or reuse.”
- And in situations where consent would be impossible or impractical to obtain, research may proceed with approval of a research ethics committee.

# Key biobank issues

- Working with HM involves dealing with a number of complex issues, most of which are not sufficiently provided for in current international research ethics guidelines;
- and it appears no single ethics guidelines (e.g. DoH or CIOMS,) may be able to comprehensively address biobank issues.

# Key biobank issues...

- There's need to articulate the issues, but have an integrated mechanism to address them:
  - Scientific concerns
    - Collection, transportation & storage to ensure quality and sustain viability of samples over long periods of time;
  - Ethical issues
    - Demonstrate respect for persons by ensuring proper consent, privacy & confidentiality; addressing risks, avoiding harm, and ensuring responsible use of HM;
  - Socio-economic considerations
    - Provide for fair and equitable sharing of benefits arising from utilization of HM.



# Key biobank issues for developing countries: Uganda as example

- Concerns over continuous shipping of HM for storage abroad;
  - Reasons for shipping:
    - Inadequate in-country/local scientific capacity;
    - Weak infrastructure: labs, power cuts, safety & QC measures;
    - Quality assurance at Central lab— especially in multicentre studies;
    - Ugandan citizens studying abroad carry HM with them for their experiments;
    - Cheaper to work with HM in more advanced facilities with more experienced personnel abroad;

# Key biobank issues for developing countries: Uganda as example...

- Fear of losing control over HM and data:
  - Common questions people seek answers for:
    - Where are the HM/data being stored?
    - Who owns them?
    - How are the HM being used, for what purpose and by whom?
    - How do we benefit from results or products developed?
    - Will our research partners ever need to come back for research now that they have the HM?

# Key biobank issues for developing countries: Uganda as example...

- Thus the debate:
  - Prevent shipment of HM/data for biobanking abroad, and in stead build local biobanks?

Or

- Allow shipment of HM/data abroad but under certain terms and conditions clearly stipulated in guidelines, policies, and agreements?

# Key biobank issues for developing countries: Uganda as example...

- Lessons:
  - Addressing issues of ownership;
    - Employed a trusteeship model, i.e. where the providing organization holds HM in trust on behalf of research participants; *but a bit complicated for private organizations/biobanks.*
  - Benefit sharing
    - Involving the provider organization in negotiating transfer & storage; use of material transfer agreements or contracts have so far been useful; ideally should include provisions for longer term collaborative partnerships for research.

# Key biobank issues for developing countries: Uganda as example...

- Lessons...
  - Rights of HM sources
    - A separate consent process for HM storage, where participant has the option to allow or refuse storage;
    - Research participants having a right to withdraw samples, if linked;
  - Role of the Research Ethics Committees (RECs)
    - RECs to review future studies on stored HM—may help in monitoring use & promoting a culture of responsibility;
    - Approve use of HM collected outside research setting;

# Conclusions

- As consensus builds on some of the key biobank issues discussed above, as always new ones will arise. Continued dialogue is necessary.
- No current research ethics guidelines will singly address all biobank issues; probably a separate more inclusive and operational guidance document for biobanking in human research activities may be needed especially for research in countries with less developed human research protection systems.

# Conclusions...

- The next DoH version may, however, broaden its scope of guidance on HM & data to take into account other ethical and associated socio-economic considerations involving biobank activities.

# Some reading material

1. Budimir, D., Polašek, O., Marušić, A., Kolčić, I., Zemunik, T., Boraska, V., Jerončić, A., et al. (2011). Ethical aspects of human biobanks: a systematic review. *Croatian Medical Journal*, 52(3), 262–279. doi:10.3325/cmj.2011.52.262
2. Emerson, C. I., Singer, P. a, & Upshur, R. E. G. (2011). Access and use of human tissues from the developing world: ethical challenges and a way forward using a tissue trust. *BMC medical ethics*, 12(1), 2. doi:10.1186/1472-6939-12-2
3. Hansson, M. G. (2011). *The Need to Downregulate: A Minimal Ethical Framework for Biobank Research in Methods in Biobanking*. (J. Dillner, Ed.) (pp. 39–59). Humana Press, Springer Science+Business Media, LLC. doi:10.1007/978-1-59745-423-0\_2
4. Harris, J. R., Burton, P., Knoppers, B. M., Lindpaintner, K., Bledsoe, M., Brookes, A. J., Budin-Ljøsne, I., et al. (2012). Toward a roadmap in global biobanking for health. *European journal of human genetics : EJHG*, 20(11), 1105–11. doi:10.1038/ejhg.2012.96
5. Hewitt, R., & Hainaut, P. (2011). Biobanking in a fast moving world: an international perspective. *Journal of the National Cancer Institute. Monographs*, 2011(42), 50–1. doi:10.1093/jncimonographs/lgr005
6. Igbe, M. a, & Adebamowo, C. a. (2012). Qualitative study of knowledge and attitudes to biobanking among lay persons in Nigeria. *BMC medical ethics*, 13(1), 27. doi:10.1186/1472-6939-13-27
7. Kiehntopf, M. & Krawczak, M. (2011). Biobanking and international interoperability: samples. *Human Genetics*: 2011, 130: 369-376, Spinger
8. Kumar Patra, P., & Sleeboom-Faulkner, M. (2012). Informed consent and benefit sharing in genetic research and biobanking in India, 33, 237–256.
9. Nietfeld, J. J., Sugarman, J., & Litton, J. (2011). Author version The Bio-PIN : A concept to improve biobanking, 308, 303–308.
10. Rudan, I., Marušić, A., & Campbell, H. (2011). Developing biobanks in developing countries, 1(1), 2–4.
11. Vaught, J. & Lockhart, N.C. (2012). The evolution of biobanking best practices. *Clinica Chimica Acta*, 413(2012) 1569-1575. Elsevier.